

EV371746765US

PATENTS
Attorney Docket No. GFI/108

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Applicants : Piotr Bobrowicz et al.
Application No. : 10/680,963 Confirmation No. : 6071
Filed : October 7, 2003
For : N-ACETYLGLUCOSAMINTRANSFERASE III
EXPRESSION IN LOWER EUKARYOTES
Group Art Unit : 1636
Examiner : Not yet assigned

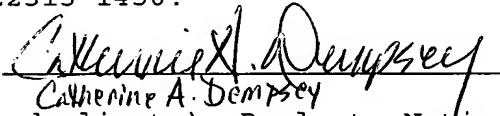
New York, New York 10020
December 15, 2004

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Date of Deposit: December 15, 2004

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Catherine A. Dempsey

Transmittal Letter (in duplicate); Reply to Notice to File Missing Parts of Application (in duplicate); Copy of Notice to File Missing Parts of Application; Executed Declaration and Power of Attorney; Substitute Sheets of Informal Drawings -- 73 sheets (Figs. 1-38); Computer readable diskette containing the Sequence Listing and a hard copy of the Sequence Listing; Statement to Support Filing and Submission in Accordance with 37 C.F.R. §§ 1.821-1.825; Preliminary Amendment; Petition Under 37 C.F.R. § 1.136(a) for Extension of time (in duplicate); Two (2) Checks in the amounts of (i) \$65.00 and (ii) \$795.00; and Postcard.



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TRANSMITTAL LETTER

Sir:

Transmitted herewith: [X] Reply to Notice to File Missing Parts of Application (in duplicate); [X] Copy of Notice to File Missing Parts of Application; [X] Executed Declaration and Power of Attorney; [X] 73 (Figs. 1-38) Substitute Sheets of Informal Drawings; [X] Computer readable . diskette containing the Sequence Listing and a hard copy of the Sequence Listing; [X] Statement to Support Filing and Submission in Accordance with 37 C.F.R. §§ 1.821-1.825; [X] Petition Under 37 C.F.R. § 1.136(a) for Extension of time (in duplicate); [X] Two (2) Checks in the amounts of (i) \$65.00 and (ii) \$795.00 to be filed in the above-identified patent application; and [X] Preliminary Amendment.

FEE FOR ADDITIONAL CLAIMS


- ☒ A fee for additional claims is not required.
- ☐ A fee for additional claims is required.
- ☒ The Director is hereby authorized to charge payment of any additional filing fees in connection with the paper(s) transmitted herewith, or credit any overpayment of same, to Deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.

EXTENSION FEE

- ☒ The following extension is applicable to the Reply filed herewith; ☐ \$60.00 extension fee for response within first month pursuant to 37 C.F.R. § 1.136(a); ☐ \$225.00 extension fee for response within second month pursuant to 37 C.F.R. § 1.136(a); ☐ \$510.00 extension fee for response within third month pursuant to 37 C.F.R. § 1.136(a); ☒ \$795.00 extension fee for response within fourth month pursuant to 37 C.F.R. § 1.136(a); ☐ \$1,080.00 within fifth month pursuant to 37 C.F.R. § 1.136(a).
- ☒ A check in the amount of ☐ \$60.00; ☐ \$225.00; ☐ \$510.00; ☒ \$795.00; ☐ \$1,080.00 in payment of the extension fee is transmitted herewith.
- ☒ The Director is hereby authorized to charge payment of any additional fees required under 37 C.F.R. § 1.17 in connection with the paper(s) transmitted herewith, or to credit any overpayment of same, to Deposit Account No. 06-1075.

A duplicate copy of this transmittal letter is
transmitted herewith.

Respectfully submitted,



James F. Haley, Jr. (Reg. No. 27,794)
Barbara A. Ruskin (Reg. No. 39,350)
Bhavana Joneja (Reg. No. 47,689)
Attorneys for Applicant

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Alexandria, VA 22313-1450

RESPONSE TO NOTICE TO FILE MISSING
PARTS OF NONPROVISIONAL APPLICATION

Sir:

Responsive to the Notice to File Missing Parts of
Nonprovisional Application mailed June 25, 2004, applicants
hereby submit the following:

1. A copy of the June 25, 2004 Notice to File
Missing Parts of Nonprovisional Application;
2. An executed Declaration and Power of Attorney;
3. Substitute sheets of informal drawings (73
sheets; Figs. 1-38)

4. A hard copy of the Sequence Listing (61 pages), and a diskette containing the Sequence Listing;

5. A Statement to Support Filing and Submission in Accordance with 37 C.F.R. §§ 1.821-1.825;

6. A Petition Under 37 C.F.R. § 1.136(a) for Extension of time (in duplicate); and


7. Two (2) checks in the amounts of

(i) \$65.00 surcharge for late filing of the Declaration and

(ii) \$795.00 for a four-month extension of time.

Please credit any overpayment or charge any underpayment in connection with this Response to Deposit Account No. 06-1075. A duplicate copy of this paper is enclosed.

Respectfully submitted,



James F. Haley, Jr. (Reg. No. 27,794)
Barbara A. Ruskin (Reg. No. 39,350)
Bhavana Joneja (Reg. No. 47,689)
Attorneys for Applicant

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UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/680,963	10/07/2003	Piotr Bobrowicz	GFI-108

1473
 FISH & NEAVE
 1251 AVENUE OF THE AMERICAS
 50TH FLOOR
 NEW YORK, NY 10020-1105



CONFIRMATION NO. 6071

FORMALITIES LETTER



OC000000013076170

Date Mailed: 06/25/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

12/17/2004 BADERXAI 00000020 10680963

01 FC:2051

65.00 DP

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

- The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Replacement drawings in compliance with 37 CFR 1.84 and 37 CFR 1.121 are required. The drawings submitted are not acceptable because:
 - The drawings must be reasonably free from erasures and must be free from alterations, overwriting, interlineations, folds, and copy marks. See Figure(s) 2, 4A, 5C, 6C, 10B, 12B, 13, 14, 25, 26, 27, 28, 30, 32, 33D, 34C, 35, 36.
 - The drawings submitted to the Office are not electronically reproducible. Drawing sheets must be submitted on paper, which is flexible, strong, white, smooth, non-shiny, and durable (see 37 CFR 1.84(e)). See Figure(s) 1A, 1B.
- This application clearly fails to comply with the requirements of 37 CFR. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after

September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- **For Rules Interpretation, call (703) 308-4216**
- **To Purchase PatentIn Software, call (703) 306-2600**
- **For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov**

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$65** for a Small Entity

- **\$65** Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

*A copy of this notice **MUST** be returned with the reply.*

B. H.
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE